# Security and Privacy in Large-Scale RFID Systems

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### Outline

- 1. Background of RFID Systems
  - 1. Introduction to RFID Technologies
  - 2. Security and Privacy Issues in RFID Systems
- 2. Encryption-Based Private Authentication
- 3. Non-Encryption-Based Private Authentication
- 4. Conclusion

## **Radio Frequency Identification**

- RFID (Radio Frequency Identification)
  - Is an electronic identification technology
  - Consists of an RF reader and RF tags
  - Tags are attached to objects
  - A reader automatically identify all objects by reading tags





An RF reader

An RF tag

### **RFID v.s. Barcode Technologies**

RFID	Barcode
No line-of-sight	Labels must be seen by a reader
Long read range (~1m)	Short read range
Automatic singulation	Labels are scanned individually
Read and write capability (~512 bits)	No write operation





# Applications

- Applications
  - Supermarkets
  - Supply chain managements
  - Book stores or library
  - Natural habitat monitoring
  - Transportation payment
  - Smart cards







## Passive RF Tags

- There are two kinds of tags, active and **passive tags** 
  - Active tags are more like sensors
- Passive tags
  - Have no power supply, and a tag is energized by signal
  - Are computationally weak devices
  - Are very cheap (\$0.1 in 2011)

Frequency	868-956 MHz.
Memory	512 bits
Transmission range	~ 1 meter
ID length	96 bits
Passwords	32 bits

Functions	
XOR, concatenation,	

16-bit pseudo random generator, Collision resistance hash function, etc.

## **Objects Identification**

- Terms and definitions
  - Singulation the process by which a reader identifies individual tags
  - Interrogation the cycle by which a reader identify all tags in its reading region
- Singulation by the query-and-response
  - Forward channel the signal from a reader to tags
  - Backward channel the signal from tags to a reader





# **Object Identification (Cont.)**

- An RF reader is connected to the back-end server
- A tag's ID is used as a pointer to the data entry in the server
  - Database contains objects' information
  - Or object status (e.g., Object 1 is at LA, Chicago, NY)



### **Private Authentication Problem**

- Tag's ID itself is **private** information
- During a singulation process, tags' ID must be protected from adversaries

### Private tag authentication problem

An RF reader securely accesses tags without disclosing tags' content to any third parties (e.g., eavesdroppers)



### **Private Authentication Problem**

#### Encryption-based approach

- Used for large-scale RFID systems
  - e.g., Inventory management such as book store
- A secret key is assigned to each tag before deployment
- Low-cost cryptographic operations are assumed
- Non-encryption-based approach
  - Used for the RFID systems, in which common secrets are not possible
    - e.g., smart cards, toll collections, etc.
    - Public/private key operation is not possible
  - Relies on the physical layer technologies, e.g., jamming

## **Proposed Work in This Tutorial**

- Private authentication
  - Encryption-based authentication protocol
  - Two Non-encryption-based authentication protocols
- System Architecture
  - Trusted Masking Device (non-encryption-based authentication)
  - Distributed RFID sensing (non-encryption-based authentication)

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- The system consists of N tags
  - A secret key is assigned to each tag in the system
  - Each tag has its ID and key
  - The server has (ID, key) for all tags
- Goals
  - Protect tag's content from adversaries
  - An authentication protocol should satisfy security requirements
  - High performance in term of authentication speed

- A naive approach
  - 1. A reader sends a query
  - 2. A tag replies with Hash(ID, key)
  - 3. A reader scan all keys to find the tag (ID', key') s.t.
     H(ID', key') = H(ID, key)
- Not secure for some attacks
- Poor performance, O(N)



#### • Privacy

- The tag's content must be protected
- Privacy of tags can be protected by the use of secret keys
- Reply = H(ID, Key)
- Untraceability
  - An attacker cannot trace a tag from its replies
  - A solution is the use of nonce, i.e., a random number, R
  - Reply =  $H(ID || R_r || R_t, Key)$  and  $R_t$



#### Cloning attack resistance

- An attacker cannot counterfeit a legitimate tag by cloning a tag's reply
- The use of nonce avoid cloning attacks

#### Forward security

- An attacker cannot obtains information in the previous communications by the key of compromised tags
- Key updating mechanism must be addressed
  - $H(ID || R_1, Key_1)$  and  $R_1$ ,  $Key_2 = H(Key_1, R_1)$
  - $H(ID || R_2, Key_2)$  and  $R_2' Key_3 = H(Key_2, R_2)$

- Unstructured
  - Reply =  $H(ID || R_r || R_t, Key)$  and  $R_t$ 
    - Where  $R_r$  and  $R_t$  are random numbers
  - This approach must scan all keys in the server
  - So, it is very slow, O(N) where N is the number of tags
- Protocols with a structured key management
  - A set of shared keys and a unique key are assigned to tag,
  - There are group-based and tree-based protocols



#### Compromise Attacks

- Should tags be physically compromised, an adversary obtains all keys from the compromised tags
- Other tags are divided into disjoint sets (anonymous sets)
  - T1 is identified by 1/2, T5 is identified by 1/4, etc.



- Design goals
  - A protocol must provide strong protection against
     compromise attacks in keeping with high performance
  - There is tradeoff between security and performance
- Basic ideas
  - Tree-based is fast, but not secure
  - A random shift at each level
  - dependency among levels



- We proposed a skip lists-based protocol
  - Randomized Skip Lists-Based Authentication (RSLA)
  - It is as **fast** as the tree-based, and **more secure**
- 4 components
  - Key initialization
  - Authentication
  - Key update
  - System maintenance
    - Tags can join to/leave from the system



- A skip list is generated **deterministically**
- Tags are assigned to the nodes in the bottom list
- Keys on the path from the bottom to the top list are assigned to a tag
- e.g., Tag 3 has a set of keys and random numbers for shifting  $gk_{0,1}, gk_{4,2}, sk_3$   $R = \{3,1\}$



- For each level i,  $b_i = H(gk_{i,ji}, b_{i-1} || n_r || n_t), E(gk_i, R_i)$
- A tag's reply consists of  $n_t, b = \{b_1, b_2, ..., b_{\log N}\}$
- e.g., Tag 3 replies with  $b_1 = H(gk_{0,1}, f || n_r || n_t), E(gk_{0,1}, 1)$

$$b_2 = H(gk_{4,2}, b_1 || n_r || n_t), E(gk_{4,2}, 3)$$
  
$$b_3 = H(sk_3, b_2 || n_r || n_t), E(sk_3, n_r || n_t) n_t$$



- Assume Tag 3 is compromised  $gk_{0,1}, gk_{4,2}, sk_3$
- Another tag belongs to an anonymous set with size (N

   1) unless it has the all group keys in common

Dependency among levels and random shifting

• e.g., Tag 4 has  $gk_{0,1}, gk_{2,2}, sk_4$   $R = \{0,1\}$ Tag 4 belongs to an anonymous set size (N - 1)Head Tail Level 0 \_\_\_\_\_ Shift 0  $- + v_4 g k_{4,1} + \cdots$ Level 1 Shift 1 Level 2 Vo gka. V4 gk42 - Va gkaz Level 3 sk<sub>e</sub> sk,

- Key update
  - The system updates the entire skip lists
  - Each node has a new key and the old key
  - Keys at tags are updated when they are interrogated
- System maintenance
  - A new tag joins to the system
    - A tag is assigned to a leaf
    - When the skip lists is full, a new set of skip lists is created
  - A tag leaves from the system
    - The corresponding leaf node is deleted

• The proposed skip lists-based is **fast** and **secure** 

	Unstructured	Tree-based	Group-based	Skip Lists- Based
Running time	O(N)	$O(\log N)$	O(N/t)	$O(\log N)$
Key cost	O(N)	O(N)	O(N+N/t)	O(N)
Security	Good	Very poor	Poor	Good

N is the number of tags in the system t is the number of groups

- RSLA v.s. existing solutions (Tree-based, Group-based, and AnonPri (group-based)
- Anonymity of the system against compromised attacks



## Simulation Results (Cont.)

• Time required for an RF reader to authenticate tags in the system



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### Non-Encryption-Based

- In some applications, shared secrets are **not possible** 
  - e.g., transport payment, smart cards
- Tags cannot perform public/private key operations
- One way to protect tags' reply is use of Jamming
- Baseline
  - Tag encodes its ID to a pseudo ID (PID)
  - Jamming is conducted during the data transmission
  - A reader recovers corrupted PID, and decodes it



### Security Issues in RFID Backward Channel

- Most of the research have focused on forward channel protection
- Only two solutions have been proposed for the backward channel protection
  - Privacy Masking
  - Randomized Bit Encoding

## **Privacy Masking**

- Privacy masking, (Choi and Rohl, ICCSA'06)
  - A reader sends mask bits when a tag sends ID
  - The reader can recover with the mask even if some of bits of tag ID collide



Fig. 2. Example operation of proposed method (a) collision (b) recovery

### Issues of Privacy Masking

- Each bit has 50% of chance to be recovered
  - A higher level of protection is required
- Attackers can create their own "unprotected" reader!
  - The backward channel protection is completely cracked.

# Randomized Bit Encoding (RBE)

- To alleviate the same bit problem, Lim et al (Lim, Li, and Yeo PerCom 08) proposed Randomized Bit Encoding (RBE)
- The idea is that an encoded ID is transmitted in privacy masking environment
  - Each source bit is encoded into a codeword
  - A tag sends pseudo ID
  - If a source bit is "0", the hamming weight of codeword is even, otherwise odd
    - Example. Source bit (the real tag ID) is "0101"
      - "0" -> "00", "1" -> "01", "0" -> "11", "1" -> "10"
      - The pseudo ID is "00011110"
  - An authorized reader recovers pseudo ID, and then identifies the real ID
    - We assume a reader needs to know a source bit and the corresponding codeword
    - Example, ID is "00011110", and mask is "10000110"
      - Received ID is "X00XX110",
      - Reader gets "0101", but an eavesdropper gets "XXX1"

### More Issues of RBE

- It is vulnerable to the **correlation attack** 
  - Each source bit is independently encoded
  - An eavesdropper may listen to a channel for a long period of time
  - This attack works for both Privacy Masking and RBE!



### New System Architecture (To Eliminate Unprotected Readers)

- A reader queries tags
- Tags sends its pseudo ID under the masking environment
- At the same time, Trusted Masking Device (TMD) sends mask bits
- A secure channel is established between reader and TMD
- The reader can recover pseudo ID and obtain the real tag IDs



# **Dynamic Bit Encoding**

- The idea is that the codeword length is changed dynamically
  - The first codeword length is  $\rm N_{max}$
  - The codeword length of the i-th bit is F(key), where F() is a hash function w/ value <= N<sub>max</sub>
  - Random bits are inserted at the end to make the pseudo ID length I x  $N_{max}$
- To identify i-th bit, an attacker needs (i-1)-th codeword
- Example,
  - N<sub>max</sub> = 3, I = 4
  - F(key) = key mod  $N_{max}$  + 1
  - Key is the prev. codeword
    - e.g. F(111) = 2



# **Optimized DBE**

- The **Optimal Dynamic Bit Encoding (ODBE)** is proposed to further improve performance of DBE
  - Length of i-th codeword is F(key) = key mod N\_i + 1, where  $N_i = n \cdot i \sum_{k=1}^{i-1} n_k$ .
  - The last codeword length is  $l_l = n \cdot l \sum_{k=1}^{l} n_k$
- Example



### Analysis

 The correct guess probability is the prob. that an eavesdropper successfully guesses the original ID from received pseudo ID

$$DBE$$
Lower bound is P = (1/2)<sup>l</sup>
No encoding
$$P = \left\{ \frac{1}{2} \cdot 1 + \frac{1}{2} \cdot \frac{1}{2} \right\}^{l} = \left( \frac{3}{4} \right)^{l}$$

$$P(N_{max}) = (1 - \frac{1}{2^{N_{max}}}) \cdot (\frac{1}{2})^{l}$$

$$+ \sum_{i=1}^{l} (\frac{1}{2^{N_{max}}}) \cdot (\overline{n})^{i-1} \cdot \{1 - (\overline{n})^{l-i}\} \cdot (\frac{1}{2})^{l-i}$$
ODBE
$$P(n) = \left\{ \frac{1}{2^{n}} + (1 - \frac{1}{2^{n}}) \cdot \frac{1}{2} \right\}^{l}, \quad (n \ge 1)$$

$$P(n) = (1 - \frac{1}{2^{n}}) \cdot (\frac{1}{2})^{l}$$

$$+ \sum_{i=1}^{l} (\frac{1}{2^{n}}) \cdot (\frac{1}{2^{N}})^{i-1} \cdot \{1 - (\frac{1}{2^{N}})^{l-i}\} \cdot (\frac{1}{2})^{l-i}$$

### The Correct Guess Probability











Fig. 9. Communication overhead.

### Issue of DBE and ODBE

- Unrealistic physical layer assumptions
  - Tag's reply and the mask must be perfectly synchronized
  - The channel is assumed to be additive
    - i.e., 0 + 0 = 0, 1 + 0 = X, 0 + 1 = X, 1 + 1 = 1
  - Only deal with backward channel



A reader and an eavesdropper receives a corrupted ID

- Existing solutions has 3 components
  - A system architecture, a jamming model and a encoding scheme
- Proposed non-encryption-based authentication
  - 1. We applied the **distributed RFID architecture**
  - 2. We redesigned a jamming model
  - 3. We have developed a new coding scheme that achieves perfect secrecy [Wire-Tap, 1975]

- A RF reader is divided into two components
  - An **RF activator** and **RF listeners** [Mobicom 10]
  - The forward channel is long, the backward channel is short



- System architecture
  - An RF activator queries tags
  - An RF tag replies its ID to a TSD (trusted shield device)
    - A TSD (RF listener) could be implemented in smart phones, etc.
    - A listener is located at user-side
  - The listener relays data to the activator
    - The traditional communication link



- Jamming Model Assumption
  - Probabilistic jamming model
    - A bit is flipped with a given probability when jamming is conducted
  - Bit level jamming is assumed
- TSD conducts jamming when a tag replies
  - Full-duplex mode is assumed [Mobihoc 12]
    - A node can send signal and receive signal simultaneously

- Proposed the 1-to-4 bit coding
  - A tag randomly flips one bit in a codeword
  - A TSD randomly jams one bit in a codeword
- The index of flipped or jammed bit is secret



- We proposed Random Flipping and Random Jamming (RFRJ) private authentication protocol
  - Distributed architecture
  - A new jamming model
  - A new coding scheme



- Tag's ID may partially disclosed to adversaries if jamming fails to flip a bit in a codeword
- Anonymity
  - State of not being identified in an anonymous set
  - e.g., an eavesdropper receives 101XX
    - Anonymous set is {10100, 10101, 10110, 10111}
    - The original bit-string is identified by 0.25
    - Anonymity = (5 3) / 5 = 0.4
- Perfect secrecy
  - The system achieves perfect secrecy if the anonymity always equals to 1
  - If the jamming successful rate is 100%, RFRJ protocol achieves the perfect secrecy

- RFRJ v.s. existing solutions (RBE, DBE, and ODBE)
- Anonymity of the system
- Jamming successful rate is 100%



Required time for an attacker crack the original tag's ID



### Conclusions

- RFID systems bring productivity gains, but also raise security threats for individuals and organizations
- There are security and privacy issues in large-scale RFID forward and backward channels
- In the tutorial, security and privacy issues are addressed
  - Private tag authentication
    - Encryption-based and non-encryption-based protocols
    - The proposed schemes achieve high degree of security and performance
  - Two RFID architectures
    - Trusted Masking Device and Distributed RFID system